

### **BANKING SOLUTION**

# A Practical Guide for IT and Audit Teams Navigating Compliance and Risk in Financial Services.



### **Overview**

Cyber threats aren't slowing down — and in banking and financial services, the margin for error is razor-thin. As a highly regulated industry handling sensitive financial data, banks and financial institutions sit squarely in the cross-hairs of ever-evolving risks.

Yet too many are unprepared to respond.

# Where are you right now when it comes to learning and upskilling?

If you're feeling unsure — or like you're constantly reacting to change instead of planning for it — you're not alone. The pace of transformation is relentless. Training and development are no longer a luxury or a once-a-year task. They're essential for keeping up, staying compliant, and protecting your organization from real risk.

This is especially true for IT and Audit teams.

These two groups are facing more pressure than ever
— from growing compliance requirements to nonstop security threats. And while both play a vital role in protecting and strengthening the business, the real power comes when they work together.

When IT and Audit leaders align their training efforts, they build teams that are not only more capable, but more adaptable.

Teams that can respond quickly, work across functions, and make smarter decisions in high-stakes situations.

That starts with rethinking how we approach continuing education. Whether it's upskilling IT professionals or meeting NASBA-approved CPE requirements for auditors, the goal is the same: stay sharp, stay ahead, and stay ready.

### The good news?

It doesn't have to be complicated. With the right approach, training isn't just another box to check — it's a chance to build real skills in critical areas like cybersecurity, cloud governance, and even Al.

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### WHAT TO EXPECT

This guide is here to help. Inside, you'll find practical ways to move forward — how to overcome the most common barriers to learning, and how to align audit and IT training strategies to support bigger business goals. **Together.** 



## Shared Challenges in a Shifting Environment

If your IT and audit teams are feeling stretched thin, they're not alone. With the pace of change showing no signs of slowing down, even the most capable teams are struggling to keep up — let alone stay ahead.

You're likely trying to balance daily demands, security threats, regulatory pressure, and ever-growing expectations... all while knowing that training and development are critical to long-term success.

**The problem?** It's hard to make space for it — and even harder to do it well.

# 5

### 7 COMMON OBSTACLES

Here are some of the most common challenges we hear from leaders like you. If they sound familiar, that's exactly why this guide exists.

### Time Pressures

Carving out time for meaningful training is a constant battle.

### 2 Disjointed Resources

Courses and platforms are all over the place — and it shows.

### 3 Lack of Strategic Alignment

Training often feels disconnected from business goals.

### **Inconsistent Access**

Some teams get support to grow. Others are left behind.

### **Limited Insight**

It's tough to measure progress, let alone prove impact.

### **Regulatory Pressure**

CPE requirements loom, but systems to meet them fall short.

### Engagement

Let's face it — there have never been more distractions competing for attention spans than there are today.



# Align Skills to Business Goals — Collaboration

So, what do you do when your teams are up against those shared challenges?

### You start by building the bridge together.

When IT and Audit leaders collaborate on training and development, they shift from reactive to resilient. Instead of working in silos, they create a shared culture of growth—one where skills aren't just checked off a list, but actively aligned to business goals.



### 5 ESSENTIALS FOR ALIGNMENT

Here are five ways to turn that idea into action.

# Align on business risk, not just technical risk

IT and Audit may speak different languages, but their goals are the same: protect the business.

**Example:** IT implements security controls. Audit ensures those controls meet regulatory expectations.

### 2) Share knowledge proactively

Don't wait for the next audit to start talking. Schedule quarterly planning sessions or even informal lunch-and-learns.

Why it matters: Continuous alignment builds trust and helps uncover blind spots before they become problems.

### Integrate training into governance

Make upskilling part of how your teams operate, not something extra.

**How to do it:** When IT and Audit use a shared platform like ITPro and AuditPro, training becomes a natural part of your internal control framework.

### Establish shared metrics

Track the things that matter to both teams — incident response times, control test outcomes, training completion rates.

Why it works: When you measure it together, you improve it together.

# Create feedback loops that keep improving

Encourage joint retrospectives, post-mortems, and control reviews — not just during crisis, but as a regular rhythm.

Why? It closes the gap between prevention (IT), detection (Audit), and resolution (both).

### **Turn Upskilling Into a Strategic Advantage**

This isn't about checking a box — it's about building real skills that make a real difference. The right courses don't just meet requirements; they move careers forward and strengthen your team's ability to meet the moment.

### LEARNING SOLUTION

Here are a few standout options from the ACI Learning library that support both compliance and capability:



### **For Audit Teams**

Meet your NASBA-approved CPE requirements with courses that stay ahead of what's coming next — not just what's required today.

- · Audit & Security for Cloud-Based Services
- · Auditing Artificial Intelligence
- · Cybersecurity Audit School
- Plus 700+ other courses designed for audit professionals

### For IT & Cybersecurity Professionals

Whether your team is just getting started or preparing for their next certification, these courses offer essential, up-to-date knowledge:

- · CompTIA Security+
- · CompTIA A+ Core
- · Security Fundamentals
- · Networking Fundamentals
- · Certified Ethical Hacker
- · Project Management Professional Prep
- · CompTIA IT Fundamentals



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# Build a Scalable Learning Culture — Why Upskilling Can't Wait

If you want to stay ready for whatever is next, you need more than individual wins — you need a learning culture that scales across your organization.

That means giving IT, audit, and cybersecurity teams access to training that's flexible, relevant, and tied to business goals.



### BUILDING A LEARNING CULTURE

Here's how to build a system that works:



### Personalize learning paths

Tailor training to roles, projects, and team priorities.

**Results:** When learners see the connection to their work, they ramp up faster and retain more.



### Use real-world labs — not just simulations

Choose hands-on, scenario-based training environments that reflect what your teams actually face.

Results: Stronger performance, less rework, and real-world readiness.



### Connect training to business and compliance goals

Make sure learning supports IT delivery, audit quality, and your security posture.

Results: Upskilling becomes a strategic lever — not just a line item.



### Track progress with meaningful analytics

Real-time dashboards help you see where teams are strong, where gaps exist, and how to invest next.

Results: Data-backed decisions means better outcomes.



### Stay ahead of today's threats

Update your training strategy as fast as the risks evolve

Results: What worked last year won't cut it now. Your environment is changing — your learning should too.



### CONCLUSION

### You Don't Have to Build This Alone

You know your teams need to be better prepared — for today's challenges and tomorrow's unknowns. But figuring out where to start, what to prioritize, and how to align it all? That's a heavy lift.

### The good news?

You don't have to build it from scratch. We're here to help!

At ACI Learning, we partner with IT, audit, and cybersecurity leaders to take the guesswork out of upskilling. Whether you're modernizing infrastructure, managing enterprise risk, or just trying to keep up — we'll help you move forward with clarity and confidence.

### What sets ACI Learning apart?

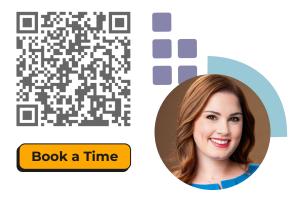
- Hands-on, real-world labs that prepare your team for what they'll actually face
- Employer analytics dashboards that highlight strengths, gaps, and progress Custom learning paths aligned to your business goals and compliance needs
- Content that stays current with fast-moving cyber, audit, and IT demands
- **Engagement tools** designed to make learning stick and drive real habit-building



# Let's build stronger teams together.

Training doesn't have to be another thing on your to-do list. With the right partner, it becomes a strategic advantage.

See for Yourself



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